Docket No.   237228US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Juergen SCHUBERT, et al.

SERIAL NO: 10/656,164   GAU: 1754

FILED: September 8, 2003   EXAMINER:

FOR: EFFICIENT MATTING AGENTS BASED ON PRECIPITATED SILICAS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:
      Applicant(s) wish to disclose the following information.

REFERENCES

☐   The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐   A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

■   Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐   A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐   Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐   No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■   Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
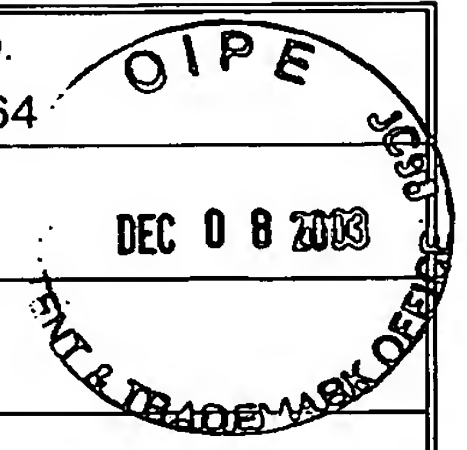MAIER & NEUSTADT, P.C.

Norman F. Oblon
Registration No.   24,618

Roland E. Martin
Registration No. 48,082

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 237228US0		SERIAL NO. 10/656,164	OIPE
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Juergen SCHUBERT, et al.			DEC 0 8 2003
		FILING DATE September 8, 2003		GROUP 1754	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,705,137	01-06-98	GOERL et al.			
	AB	5,859,117	01-12-99	GOERL et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	☐ Additional References sheet(s) attached

Examiner	Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or Issue Date	Inventor/ Applicant
204569US0	09/934,471	08/23/01	SCHUBERT, et al.
208553US0	09/940,438	08/29/01	SCHUBERT, et al.
237228US0*	10/656,164	09/08/03	SCHUBERT, et al.
PER CLIENT	5,705,137	05/29/96	GOERL, et al.
PER CLIENT	5,859,117	09/16/97	GOERL, et al.
215150US0	10/079,479	02/22/02	LINDNER, et al.

*Present Application; listed for faxed information
NFO/sbr